

Report Status (as at 28 February 2023)

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
Fundamental / High				
Effective	Welsh Government Covid Grants - Assurance (2021/22)			Drafts
	School Asset Management - Thematic (Albany)			Issued
	People and Communities – Commissioning & Procurement (cf. 2021/22)			
	Main Accounting (cf. 2021/22)			
	Purchasing and Payments - in year testing (cf. 2021/22)			
	St Illtyd's – School Asset Management (cf. 2021/22)			
	Resources – Income and Debtors (cf. 2021/22)			
	Housing Benefit / Local Housing Allowance / Council Tax Reduction Scheme			
Effective with opportunity for improvement	Resources - Health and Safety (cf. 2021/22)			Drafts
	Whistleblowing Processes (cf. 2021/22)			Issued
	Payroll & HR – In Year-Testing (cf. 2021/22)			
	Asset Management (cf. 2021/22)			
	Income and Debtors			
	City Deal 2021/22			
	Cradle to grave audit (cf. 2021/22)			
	Purchasing Cards (cf. 2021/22)			
	Corporate Performance Management (cf. 2021/22)			
	The Hollies – School Asset Management (cf. 2021/22)			
	Herbert Thompson – School Asset Management (cf. 2021/22)			
	Allensbank - School Health and Safety (cf. 2021/22)			
	Information governance (cf. 2021/22)			
	Resources - Pre-Contract Assurance (cf. 2021/22)			
	St Mellons - School Health and Safety (cf. 2021/22)			
	Severn - School Health and Safety (cf. 2021/22)			
	Greenhill - School Health and Safety (cf. 2021/22)			
	Llanishen - School Health and Safety (cf. 2021/22)			
Social Services – Income and Debtors (cf. 2021/22)				

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	Directorate Recovery Planning (cf. 2021/22)			
	Value for money in Digital Initiatives (cf. 2021/22)			
	Risk Management Arrangements			
	Agency Staff Payments			
	Brindley Road Stores			
	Safeguarding			
	Adults, Housing and Communities - Pre-Contract Assurance (cf. 2021/22)			
	Procurement			
	Cyber Security Governance			
	Health and safety			
Insufficient with major improvement needed	St Patricks – School Asset Management (cf. 2021/22)	1		Drafts Issued
	Contract Variations	1		
	Directorate PCI - DSS Compliance	1		
	Children's Services - Pre-Contract Assurance(cf. 2021/22)	1	1	
	Mileage and Subsistence (cf. 2021/22)	1	1	
	Cloud Computing (cf. 2021/22)	1	1	
	PTE – Pre-Contract Assurance (cf. 2021/22)	1	1	
Medium				
Effective	Ysgol Bro Edern (cf. 2021/22)			Draft
	Get me home service			
	ICF schemes (incl. Families First)			
Effective with opportunity for	Shared Regulatory Services in Cardiff			Drafts Issued
	Schools with Surplus Balances – Thematic			
	Catering in Opted out schools			
	Cardiff Dogs Home			
	Follow up - Cardiff West Community High School			
	Business Continuity and Incident Management (cf. 2021/22)			
	Telecare (cf. 2021/22)			
	Cantonian			
	Cardiff Caravan Park			
	Cardiff Riding School			

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	Leisure Contract Governance			
	Cardiff Organic Waste Treatment Contract			
	Glyncoed Primary			
Insufficient with Major improvement needed	Children's Placements (cf. 2021/22)	1	1	
	S106 Agreements (cf. 2021/22)	1	1	
Unsatisfactory	Central Transport Service	2		Draft
Grants / Accounts / External Bodies				
No assurance opinion given	Joint Committees - Prosiect Gwyrdd	Statement of Accounts Reviews / Certification / Support		
	Joint Committees - Port Health Authority			
	Joint Committees - Glamorgan Archives			
	Education Improvement Grant 2021/22			
Other assignments				
No assurance opinion given	Service and Process Consultancy	compliance, control and counter-fraud guidance		
	Purchasing Payments and Processing – Control Risk Self-Assessment (CRSA)	Review and engagement with client on their CRSA		
	Payroll & HR – Control Risk Self-Assessment (CRSA)			